



Foreign Account Tax Compliance Act (FATCA) Checklist (For Individuals & Sole Proprietors)

Date: New Account Classification Change in Circumstances of existing account

Account Title _____ Account Number

Section A. Customer Type (please indicate as applicable)

- Individual/ Sole Proprietor** Please use Applicant 1 column to provide your responses.
- Minor** In case of Account of Minor, please use Applicant 1 column for Minor and Applicant 2 column for Guardian.
- Joint** Please use separate column for each joint account applicant, use additional checklist if more than 2 applicants.

Section B. Beneficial Ownership (please indicate as applicable)

- Self** Please use Applicant 1 column to provide your responses.
- Other** Please use Applicant 1 column for Account Holder and Applicant 2 column for Beneficial Owner.

Section C. FATCA Status Information

	Applicant 1	Applicant 2
Name of Applicant As per instructions given in Customer Type & Beneficial Owner Section above	<input type="text"/>	<input type="text"/>
1. Are you a U.S. Citizen, a U.S. Green Card Holder or a U.S. Resident¹?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
<ul style="list-style-type: none"> If Yes: Provide <u>Form W-9</u> and proceed to <u>Section D</u> below; If No: Proceed to <u>Next Question</u>. 		
2. Were you born in the U.S.?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
<ul style="list-style-type: none"> If Yes: Provide <u>Form W-9</u> and proceed to <u>Section D</u> below; If Yes: But you claim being a non-U.S. person, please provide (i) <u>Certificate/ Written Explanation of Revocation of U.S. Nationality</u> (ii) <u>A non-U.S. passport</u> (iii) <u>Signed Form W-8BEN</u>; If No: Proceed to <u>Next Question</u>. 		
3. Do you have a U.S. address or telephone number?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
4. Are you assigning signatory authority to a person with a U.S. address?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
5. Are you aware of any other information that may indicate U.S. links?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Including U.S. source of funds/ income, U.S. nationality, residence status of authorised signatory/ mandate holder, expected remittances to/ from U.S. etc.		
For Questions 3, 4 and 5 above:		
<ul style="list-style-type: none"> If Yes and you accept being a U.S. person: Provide <u>Form W-9</u> and proceed to <u>Section D</u> below; If Yes and you claim being a non-U.S. person: Provide an <u>ID Document</u> showing your permanent address (which should not be a U.S. address), OR provide <u>Form W-8BEN</u> & proceed to <u>section D</u>; If No: No FATCA documentation required, proceed to <u>Section D</u> below. 		

Section D. Applicant Confirmation (to be filled by all applicants)

I hereby confirm that the information provided above is true, accurate and complete. Subject to applicable local laws, I hereby consent for National Bank of Pakistan or any of its affiliates, subsidiaries (including branches) (collectively "the Bank") to share my information with domestic or overseas regulators or tax authorities where necessary/ applicable to establish my tax liability in any jurisdiction. Where required by domestic or overseas regulators or tax authorities, I consent and agree that the Bank may withhold from my account(s) such amounts as may be required according to applicable laws, regulations and directives. I also agree and undertake to notify the Bank within 30 calendar days if there is a change in any information which I have provided to the Bank.

Signature of Applicant(s)	Applicant 1	Applicant 2
<input type="text"/>	<input type="text"/>	<input type="text"/>
Date:	<input type="text"/>	<input type="text"/>

¹ A person may be a U.S. resident if the person was present for the period of 183 days or more during the current and last two preceding years. For further details, please refer to Tactful Questioning guidelines.



For Bank's Use Only

FATCA Documentation Checklist table with columns for Applicant 1 and Applicant 2. Rows include categories like 'Person with U.S. citizenship...', 'Person born in U.S.', and 'Other document/ U.S. withholding certificate...'.

FATCA Classification of Applicants table with columns for Applicant 1 and Applicant 2. Rows include '1. U.S. Person;', '2. Non-U.S. Person;', and '3. Recalcitrant;'.

FATCA Classification of Account table with checkboxes for U.S. Account, Non-U.S. Account, and Recalcitrant Account, each with a descriptive text.

Branch Authorisation section containing a confirmation paragraph and a signature table with columns for Account Opening Officer and Operations Manager/ Branch Manager.